

REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b)

Attorney Docket No. P65588US1

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



This is a request for filing a [X] continuation or [] divisional application under 37 C.F.R. 1.53(b) of prior application number 09/581,086 filed June 9, 2000, for PROCESS FOR PRODUCING BUTANETRIOL DERIVATIVE by Inventor(s):

Makoto HIRATA et al.

Enclosed is a copy of the prior application including the declaration as originally filed.

- 1. [X] A Preliminary Amendment is enclosed.
- 2. [] Cancel claims for purposes of lessening filing fees.
- 3. [X] The filing fee is calculated as follows on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

Fee Calculation

Fee Category							Small Entity			Large Entity		
Excess Total Claims	Nº =	0	⊖ 20 =	0	8	\$9	=	\$ **	⊗ \$18	=	\$ **	
Excess Indpnt. Claims	Nº =	0	⊖ 3 =	0	8	\$42	=	\$ **	⊗ \$84	=	\$ **	
[] Multiple Dependant Claim						\$140			⊕ \$280		\$ ***	
Basic Filing								\$ 375			\$ 750	
Fee Total								\$ ***			\$ 750	

- 4. [] This application is filed without payment of the filing fee in accordance with 37 CFR 1.53(f).
- 5. [X] A check in the amount of \$750.00 is enclosed.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358.

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6.	[X]	Amend the specification by inserting before the first line the sentence:							
			continuation of application no. 09/581,086 filed June 9, 2000, which is a CT/JP 99/00355, filed 28 January 1999, published in Japanese.						
7.	[] a. b.	[] A [] A	A small entity statement is enclosed. A small entity statement was filed in the prior nonprovisional application and uch status is still proper and desired. s no longer claimed.						
8.	[X]	Priority under 35 USC 119 is claimed to application number 018802/1998 filed 30 January 1998, in Japan.							
	a)	[X] (Certified copy on file in prior application no. 09/581,086.						
	b)	[] (Certified copy filed herewith.						
9.	[X]	The prior application is assigned of record to Daiso Co., Ltd, 10-8, Edobari, 1-Chome, Nishi-ku, Osaka-shi, Osaka-fu, Japan.							
10.	[X]	The Power of Attorney in the prior application is to at least one of the following:							
		William E. Player, 31,409; John Clarke Holman, 22,769; Harvey B. Jacobson, Jr., 20,851; D. Douglas Price, 24,514; Marvin R. Stern, 20,640; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007.							
	a) [X] The power appears in the original papers of the prior application.								
	b)[]		e power does not appear in the original papers, a copy of the power in the plication is enclosed.						
11.	[X]	Petition	to extend the life of the above prior application to at least the date hereof						
		(One box	x) [] is being concurrently filed in						
	NOTE	:(must be) that prior application.						
		(X'd)	[] was previously filed in that application.						
			[X] is not necessary.						

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, the Commissioner is hereby petitioned to extend the time for response and authorized to charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

Attorney Docket No. P65588US1 Continuation of application no. 09/581,086

- 12. [X] Enclosed is a copy of each Information Disclosure Statement (IDS) and Form PTO 1449 of record in each prior application relied on for an earlier filing date under 35 U.S.C. 120.
- 13. [X] Enclosed is a copy each Form PTO 892 of record in each prior application relied on for an earlier filing date under 35 U.S.C. 120.
- 14. [X] Also enclosed:
 - (i) Correction in Spelling of Inventor's Name Under MPEP 605.04(b)
 - (ii) Copy of Decision On Petition Under 37 CFR 1.181, mailed February 28, 2002, in the prior application.

Address all future correspondence to:

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Respectfully submitted,

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By:

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Date: July 28, 2003

WEP/id

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